

# U.S. Small Business Administration HUBZone Program

## SUPPORTING DOCUMENT REQUIREMENTS FOR ALL BUSINESS STRUCTURES

### HUBZone Program Required Supporting Documents Checklist

Click on each of the documents listed below for specific instructions.

#### **Part 1: Principal Office Requirements**

- [Lease/Rental Agreement/Deed](#)
- [Utility Bill](#)
- [HUBZone Map of Principal Office Address](#)

#### **Part 2: 35 Percent HUBZone Residency Requirements**

- [Firm Location and Employee Information](#)
- [Payroll Records](#)
- [Proof of HUBZone Residency](#)
- [HUBZone Maps of HUBZone Employees' Addresses](#)
- [Job Descriptions \(if applicable\)](#)

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- [Proof of Citizenship](#)
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### HUBZone Program Required Supporting Documents Guide

#### Introduction

This Supporting Documents Guide and Checklist is provided to help eligible small businesses understand all of the documentation they will need to provide to the U.S. Small Business Administration (SBA), within three days of their electronic application, in order for their certification or continuing eligibility submission to be considered complete and ready for review.

#### Purpose of Documentation

The SBA uses the documentation provided by the firm to verify its compliance with the HUBZone program requirements. The SBA may also conduct independent research, including reviewing the company's website and other public websites. In processing an application or continuing eligibility review, the SBA is looking for consistency in the information contained in the firm's online application, supporting documentation submitted, and the independent research it conducts. The SBA will make a final eligibility determination based on the information provided in the application, the documents you submit, any explanations you provide, and any other available information ([13 CFR 126.300](#)).

#### Common Application Pitfalls

The following are the most common issues that arise in HUBZone applications and prevent the program from initiating or completing a full review.

- Failure to verify the online application electronically within two days according to instructions emailed after the online application is submitted (check your spam folder).
- Failure to submit all of the documents required in this Supporting Documents Checklist following the required guidelines. We cannot initiate a review until all documents are present.
- Failure to submit all required documents within three days of verification of your online application. Check your spam folder if you have not received an email with instructions for uploading your documents.
- Failure to upload documents according to instructions. The program will not accept applications or supporting documents via mail and all uploaded documents must be named correctly.
- Failure to notify the SBA of other businesses with which the applicant is affiliated.
- Failure to include information on all addresses associated with the firm according to publicly available information, such as the firm's website.
- Failure to respond to requests for additional information within five business days.
- Failure to sign and/or check the ownership category box on the certification form.

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### Determining Final Proof of Eligibility

The SBA requires that your firm be eligible from the time of your Electronic Verification Date (EVD) until the SBA's final decision. **Therefore, you must be prepared to provide proof of your firm's eligibility for at least 60 days following your EVD.** If circumstances change after you verify your application and the SBA has not yet made a final decision regarding your application, you are required to inform the SBA. This is important because if the eligibility of your firm is protested in connection with a HUBZone contract award, you will be required to provide proof of your firm's eligibility as of the firm's HUBZone certification (approval) date. It is incumbent upon you to notify us of any changes and to maintain your records proving eligibility as of your certification date.

If the firm fails to provide any of the requested documents or information within the three business days required, it should be understood that the SBA may draw an adverse inference and conclude that the firm does not meet eligibility requirements and may withdraw or deny the application ([13 CFR 126.306\(b\)](#)).

Please note that a firm that is declined or decertified can reapply 90 days from the date of the decline or decertification notice ([13 CFR 126.309](#)).

### Note for Tribally Owned Firms

A firm that is owned in whole or in part by one or more Indian Tribal Governments (or by a corporation that is wholly owned by Indian Tribal Governments) must either:

- (i) Maintain a principal office located in a HUBZone and ensure that at least 35 percent of its employees reside in a HUBZone; or
- (ii) Certify that when performing a HUBZone contract, at least 35 percent of its employees engaged in performing that contract will reside within any Indian reservation governed by one or more of the Indian Tribal Government owners, or reside within any HUBZone adjacent to such Indian reservation ([13 CFR 126.200\(c\)\(2\)\(ii\)](#)).

If your firm is tribally owned and selecting option (ii) above, provide a statement confirming this with your supporting document submission. You do not need to submit documents related to the principal office or 35 percent HUBZone residency requirements.

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### Prepare and Plan Ahead

If you gather the materials in this checklist and follow the guidelines before you open an electronic application, you will have greater success in completing the application smoothly. If you have questions as you review this document and prepare your application, please access our customer support resources:

- Reach out to the HUBZone Help Desk at [hubzone@sba.gov](mailto:hubzone@sba.gov).
- Join one of our open calls every Tuesday and Thursday from 2–3 p.m. ET via toll free number: 208-391-5817; conference ID: 278 449 067, where members of our team answer questions to help firms navigate the certification process.
- Visit our website for tips, tools, fact sheets, and frequently asked questions (FAQs): [www.sba.gov/hubzone](http://www.sba.gov/hubzone).

### Part 1: Principal Office Requirements

The following documents are required to determine and/or demonstrate proof that the firm's principal office is fully operational and located in a HUBZone.

#### Lease/Rental Agreement/Deed

Provide a copy of a fully executed lease (or rental agreement) or deed for the firm's principal office. The lease/deed must be valid and in effect at the time of the firm's Electronic Verification Date (EVD). This means it must have a beginning date prior to the EVD and end date more than 60 days following the EVD. The firm's full legal name or the owner's full legal name must be identified as being the lessee, renter, or owner of the property identified in the lease/deed. If the firm's name is not in any of these documents, you must submit a written statement explaining why. If the lease/deed only includes a parcel description, you must include a property tax bill and/or insurance policy showing the physical address of the principal office location. (Note that the property tax bill and/or insurance policy is for verification of the physical address only—submission of this document in lieu of the required lease or deed is not evidence that the firm meets this regulatory requirement.)

If the firm has a month-to-month lease, the firm must provide either of the following:

- An addendum to the expired lease; or
- If an addendum to the expired lease does not exist, an attestation from the landlord and proof of payment for three months leading up to the EVD.

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If the firm is leasing space in a shared workspace facility, the firm must provide the following<sup>1</sup>:

- A lease indicating that the firm has dedicated office space that is not shared with other firms, it is only accessible to the firm, and has a lockable door;
- The lease must indicate that the dedicated space contains sufficient work surface area and furniture and equipment (e.g., desks and chairs) to accommodate the number of employees claimed to work from this location;
- Proof of rental payment in the form of canceled checks (front and back), bank statements, and/or proof of credit card transactions covering the relevant time period;
- Pictures of the dedicated office space to include photos of the full length of the door to the office including the suite number and work stations; and
- The lease must be at least 30 days prior to the date of application submission, and the end date must be at least 90 days after the date of application submission.

If the monthly lease payment amount appears to be significantly below market value, you may be asked to provide additional information regarding the lease agreement.

### **Utility Bill**

Provide a copy of the most recent utility bill for the firm's principal office that shows the period of usage and shows the service location. Examples include bills for electricity, gas, water, sewer, or landline telephone. Note that cellular phone and Voice over Internet Protocol (VoIP) bills are not acceptable.

If you cannot provide a utility bill because utilities are included under the terms of the lease, you must provide the following: a citation showing where the lease provides that utilities are included (e.g., "page 2, paragraph 3") and proof of payment of rent for three months immediately prior to the EVD in the form of canceled checks (including front and back and showing endorsement by the bank), cashier's checks, bank statements, credit card statements, and money orders. We do not accept paid invoices or cash receipts as proof of rental payment.

If utilities are included in rental payments but this is not specified in the lease, you must also provide a signed declaration from the lessor/landlord stating that utilities are included.

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<sup>1</sup> See the frequently asked questions (FAQs) at [www.sba.gov/hubzone](http://www.sba.gov/hubzone) for additional information related to shared working spaces.

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### HUBZone Map of the Principal Office Address

Provide a copy of a [HUBZone map](#) for the principal office address and any other location owned or leased by the firm.

## Part 2: 35 Percent HUBZone Residency Requirements

At least 35 percent of **all** of the firm's employees must live in a HUBZone, regardless of where they work. (See [13 CFR 126.200\(d\)](#), see also definition of "Employee" at [13 CFR 126.103](#).)

### Firm Location and Employee Information

You may submit the required information in one of two ways:

#### Eligibility Workbook Tool

The [Eligibility Workbook Tool](#) helps applicants organize and present information related to their principal office and HUBZone employee residency. **Filling out the workbook tool and uploading it as part of your application will help expedite the SBA's review of your HUBZone application.**

To use the workbook, please follow the link above, download the Excel file to your computer, input the information using the instructions on the first tab, save your completed workbook to your computer, and upload it with the other required documents as part of your application submission.

#### OR Firm Location and Employee List

Provide a complete list of all locations where employees of the firm performed work at the time of the Electronic Verification Date (EVD), including all office locations maintained by the firm, all telework locations, and all jobsites. For the HUBZone program:

- An "office" is a location owned or leased by the firm where employees work.
- A "telework site" is a location where one or more employees regularly telework.
- A "jobsite" is a location where employees work to fulfill specific contract obligations (applicable only if the firm's primary industry is service or construction).

Your list must provide the following information for each employee:

1. Name of the employee who worked at the location during the payroll periods immediately preceding your firm's EVD.
2. Complete address for the location where the employee worked. (**Note:** You do not need to provide the address for any jobsite.)
3. Description of location (i.e., office, telework site, or jobsite).
4. Number of hours worked per week at the location.
5. Indication of whether any of the following circumstances apply:

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- a.** Obtained from a temporary employee agency
- b.** Obtained from a leasing concern<sup>2</sup>
- c.** Obtained through a union agreement
- d.** Co-employed through a professional employer organization agreement
- e.** Not included on payroll
- f.** Not compensated by the applicant firm
- g.** An owner of the applicant firm
- h.** An officer of the applicant firm
- i.** Shared with another company

### **Payroll Records<sup>3</sup>**

The SBA will review a concern's payroll records for the most recently completed pay periods that account for the four-week period immediately prior to the EVD to determine which individuals meet the definition of the term "employee" for HUBZone purposes. For the purposes of the HUBZone program, "employee" means all individuals employed on a full-time, part-time, or other basis, so long as that individual works a minimum of 40 hours during the four-week period immediately prior to the EVD. Only individuals who meet the HUBZone definition of "employee" are counted when calculating whether a firm meets the 35 percent HUBZone residency and principal office requirements.

The SBA will only accept official payroll records and payroll reports that show, at a minimum: the company's name; the names of the individuals who performed work during the pay period; the number of hours worked by each employee during the pay period; the wages earned by each individual during the pay period; the taxes deducted from each individual's wages during the pay period; and the pay period's beginning and end dates.

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<sup>2</sup> See the FAQs at [www.sba.gov/hubzone](http://www.sba.gov/hubzone) for additional information related to employees obtained from a leasing concern.

<sup>3</sup> A firm that does not have a payroll system can still apply and validate continued compliance.



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### Payroll time period

Provide payroll records for the most recently completed payroll periods that account for the four-week period immediately prior to the EVD.

- **Example:** A firm is on a monthly payroll system, where payroll is processed every calendar month. The firm submits its application on July 6. The firm must submit payroll records for the payroll period from June 1 to June 30.
- **Example:** A firm is on a biweekly payroll system, where payroll is processed every 14 days. The firm submits its application on July 6. The firm's most recently completed payroll period prior to July 6 ended June 30. The firm must submit payroll records for the payroll periods from June 3 to June 16 and June 17 to June 30.
- **Example:** A firm is on a weekly payroll system, where payroll is processed every 7 days. The firm submits its application on July 6. The firm's most recently completed payroll period prior to July 6 ended June 30. The firm must submit payroll records for the payroll periods from June 3 to June 9; June 10 to June 16; June 17 to June 23; and June 24 to June 30.

### Additional documentation related to employees (if applicable)

If the firm has employees obtained from a **temporary employee agency, from a leasing concern, through a union agreement, or co-employed pursuant to a professional employer organization agreement**, you must submit: a copy of the agreement and proof of payment for billed invoices covering the most recently completed four-week period prior to the EVD.

If any **owners or officers** of the firm do not appear on the payroll records provided, you must submit a list of those owners/officers, including: the name of the owner/officer; the individual's percentage of ownership; the number of hours worked during the four-week period immediately preceding the EVD; the location where the owner performed his/her work during that period; whether the individual was compensated for the work performed; and how the individual was compensated.

**Note:** Please review your application to ensure that all owners were properly identified. If after reviewing the application you find that corrections are required, contact the HUBZone Help Desk at [huzbone@sba.gov](mailto:huzbone@sba.gov) to have your application corrected.

### Proof of HUBZone Residency

Provide copies of driver's licenses or state-issued identification cards showing the home address of each HUBZone resident employee. These documents must be unexpired and issued at least 180 days before the Electronic Verification Date (EVD). You may also provide a copy of the prior, expired document if the current one was not issued at least 180 days before the EVD.

**Note for residents of Guam:** Ensure that any certificates of residency from the mayor of the municipality include a residency start date.

**Note:** If the document expires prior to the issuance of the SBA's written decision to certify the firm or deny certification, the SBA will request evidence verifying that the employee continues to reside at this address.

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If the employee does not have a current driver's license or state-issued identification card (or if the address listed on such document does not reflect the employee's current address, or is a P.O. Box), you must provide: a copy of the employee's most recent driver's license or state-issued identification card; a signed statement from the employee explaining why the address on the primary document is not current and attesting to the employee's date of residency; and one of the following:

- Voter Registration Card along with one of the documents listed below that covers the date of the EVD and another to cover the date 180 prior to the EVD;
- Current lease agreement;
- Deed or mortgage statement. One of the mortgage statements must cover the EVD and the other must cover the date 180 days prior to the EVD; or
- Two utility bills for electricity, gas, cable, internet, or landline phone. Cell phone and VoIP bills will not be accepted. One of the bills must cover the EVD and the other must cover the date 180 days prior to the EVD.

#### Sample statement:

This statement serves in lieu of a driver's license and/or state-issued identification card as one cannot be obtained due to the following circumstances: **[Explain circumstances here]**. I am an employee of **[name of firm]**. I, **[person's name]**, live at **[home address]** and have lived there since **[dd/mm/yyyy]**. I do consider it my permanent home. I declare under penalty of perjury, under the laws of the United States of America, that the foregoing is true and correct to the best of my knowledge.

\_\_\_\_\_  
**[signature and date]**

### HUBZone Maps of HUBZone Employees' Addresses

Provide a copy of a [HUBZone map](#) for each employee residing in a HUBZone. Include the name of the employee on the map.

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### Job Descriptions

If any **HUBZone employee worked less than an average of 30 hours** a week (less than 120 total hours) during the four-week payroll period, provide a copy of their job description. Job descriptions will need to address, at a minimum: the job title, the individual's duties and responsibilities, the expected work product, and the general job schedule. If a job description covers more than one employee, provide a list of employees covered under that just description. The SBA will interpret the failure to submit a job description as an indication that the employee is not performing meaningful work for the firm and the individual will not be considered an employee for HUBZone program purposes.<sup>4</sup>

In addition, analysts reviewing applications or conducting program examinations may request information demonstrating that each part-time individual is performing the work outlined in their job description, including but not limited to the following: resumes; timesheets; evidence of onboarding and/or training; evidence of regular communication with management (such as emails, meeting notes, etc.); and/or evidence of tangible work product commensurate with the hours reflected on payroll.

### Part 3: Ownership and Control Requirements

The following documents provide proof that the firm is at least 51 percent owned by U.S. citizens.

#### Proof of Citizenship

Provide any ONE of the following documents for firm owners who are U.S. citizens:

- State-issued or government-issued birth certificate;
- Unexpired U.S. passport;
- Certificate of Citizenship; or
- Certificate of Naturalization.

DO NOT send Social Security cards.

#### Corporate Documents

A HUBZone firm may be in the legal form of a corporation, limited liability company, partnership, or sole proprietorship. The type of documentation required to be submitted to the SBA depends on the applicant firm's legal form.

Although the firm may not be required to submit these documents to the Secretary of State in the state of incorporation, it is nonetheless required and necessary to determine the eligibility criteria for the SBA HUBZone program.

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<sup>4</sup> See the FAQs at [www.sba.gov/hubzone](http://www.sba.gov/hubzone) for additional information related to the job description requirement.

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If the applicant firm is owned through a holding company or subsidiary of its ultimate parent company, you must also provide that company's corporate documents.

The following documents must be valid at the Electronic Verification Date (EVD) and have the required signatures.

### **Corporation**

- Articles of Incorporation and any amendments or Certificate of Incorporation with the Secretary of State seal. If the document was issued in a state other than the state in which the principal office is located, provide a certificate of authority to transact business or a foreign entity registration in the state in which the principal office is located.
- Stock Ledger or Register or corporate resolution clearly showing all owners and ownership percentages as of the EVD.
- DBA (Doing Business As) Certificate, if applicable.

### **Limited Liability Company (LLC)**

- Articles of Organization and any amendments or Certificate of Organization with the Secretary of State seal.
- All LLCs, including single-member LLCs, must provide an Operating Agreement and any amendments, if the Articles of Organization do not clearly show all owners and ownership percentages.
- DBA (Doing Business As) Certificate, if applicable.

### **Partnership**

- Partnership Agreement and any amendments.
- DBA (Doing Business As) Certificate, if applicable.

### **Sole Proprietorship**

- Most recent 1040 Schedule C, if available.
- DBA (Doing Business As) Certificate, if applicable.

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### Entity-Owned (e.g., owned by an Indian Tribal Government, ANC, NHO, CDC, or a small agricultural cooperative)

- If your firm is at least 51 percent owned by an Alaskan Native Corporation (ANC) or a wholly owned business entity of an ANC, provide a copy of the ANC's Articles of Incorporation/Organization showing that it is organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act.
- If your firm is at least 51 percent owned by one or more Community Development Corporations (CDCs), provide evidence that the CDC received financial assistance under Part 1 of Subchapter A of the Community Economic Development Act of 1981, 42 USC 9805-9808.
- If your firm is at least 51 percent owned by one or more Native Hawaiian Organizations (NHOs) or by a corporation that is wholly owned by one or more NHOs, provide:
  - A copy of the NHO's Articles of Incorporation/Organization, and any amendments;
  - Records showing that the claimed NHO is controlled by Native Hawaiians (e.g., copies of meeting minutes showing the election of the Directors, documentation showing the Directors are Native Hawaiians, such as birth certificates, and copies of the NHO's bylaws showing that the Native Hawaiian Directors control the claimed NHO);
  - Records showing that the NHO's business activities will principally benefit Native Hawaiians (e.g., copies of the NHO's bylaws, which should describe the benefits Native Hawaiians will receive from the NHO); and
  - If the NHO owns the applicant firm through a subsidiary or holding company, provide that company's corporate documents.
- If your firm is at least 51 percent owned by one or more Indian Tribal Government (tribally owned company) or by a corporation that is wholly owned by one or more Indian Tribal Governments, provide:
  - A copy of the tribe's governing documents such as the tribe's constitution or business charter;
  - Evidence of the tribe's recognition as a tribe eligible for the special programs and services provided by the United States or by its state of residence;
  - Copies of the firm's Articles of Incorporation and bylaws as filed with the organizing or chartering authority, or similar documents needed to establish and govern a non-corporate legal entity;
  - A copy of the tribe's organizational chart showing how the firm fits into the greater tribal ownership structure; and
  - If the Indian Tribal Government owns the applicant firm through a subsidiary or holding company, provide that company's corporate documents.
- If your firm is a small agricultural cooperative, you must provide proof that it is organized or incorporated in the United States, or proof that it is at least 51 percent owned by one or more small agricultural cooperatives organized in the United States, pursuant to the provisions of the Agricultural Marketing Act.

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### Other Ownership-Related Documents (if applicable)

- If your firm is a franchisee, provide a copy of the Franchise Agreement.
- If your firm is owned in part by an Employee Stock Ownership Plan (ESOP), you must provide a copy of the ESOP Plan and a list of all trustees and plan members.
- If your firm is owned entirely or in part by a trust, you must provide a copy of the trust agreement and a list of all trustees and beneficiaries.

### Affiliate Information (if applicable)

Concerns and entities are affiliates of each other when one controls or has the power to control the other, or a third-party controls or has the power to control both. The SBA considers factors such as ownership, management, previous relationships with or ties to another concern, and contractual relationships in determining whether affiliation exists. See 13 CFR § 121.103.

The SBA may include the employees from an affiliated firm when determining whether an applicant meets the 35 percent HUBZone residency and principal office requirements, where the SBA finds that there is not a clear line of fracture between the HUBZone applicant and the affiliated firm(s). Specifically, the SBA will review the firm's responses to the [Affiliation Questions](#) to determine whether the employees of one company should be considered employees of the HUBZone applicant for purposes of this program.

Provide a list of all firms affiliated with the applicant firm and provide separate responses for each affiliate to the [Affiliation Questions](#) listed in Appendix B of this document.

### Certification Form (Signature Sheet)

Sign and upload the certification form verifying that the firm meets all eligibility requirements. Don't forget to indicate your ownership category—this is one of the most common reasons these forms are returned for correction. Choose the link to the certification form below that is applicable for the firm's ownership structure.

- [Certification Form for Applicants Owned by U.S. Citizens, ANCs, NHOs, or CDCs](#)
- [Certification Form for Applicants Owned by Indian Tribal Governments](#)
- [Certification Form for Applicants Owned by Small Agricultural Cooperatives](#)

**Please note:** Firms are no longer required to notarize the form or mail a hard copy to the SBA. Additionally, note that when determining the percentage of employees that reside in a HUBZone, if the percentage results in a fraction, round to the nearest whole number.

## Part 4: Document Submission

## **U.S. Small Business Administration HUBZone Program** **SUPPORTING DOCUMENT REQUIREMENTS FOR ALL BUSINESS STRUCTURES**

A firm applying for HUBZone certification has three business days from the Electronic Verification Date (EVD) to upload the supporting documentation into the SBA's secure file sharing system.

- 1. Prepare your files for upload:** Name each file according to the following naming convention: [Application XXXXX\_ Document Name]. Example: Application 34523\_Eligibility Workbook
- 2. Zip (compress) your files.** To zip your files, navigate to the folder on your computer containing your files. Highlight all files you wish to package into a zip folder. You can highlight all by selecting the top file (by left clicking with your mouse), holding the SHIFT key on your keyboard, and selecting the bottom file. Once all files are highlighted, right-click, select "Send to," then select "Compressed (zipped) folder." You will now be able to name your new zip file by filling in the blank. Name the zip file your application number.
- 3. Upload your files.** See [Appendix C](#) for uploading links and instructions.

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### Appendix A

#### Principal Office Requirement

Your firm's principal office is the location where the greatest number of employees at any one location perform their work. If an employee works at multiple locations, then the employee will be deemed to work at the location where the employee spends more than 50 percent of his or her time. If an employee does not spend more than 50 percent of his or her time at any one location (and at least one of those locations is a non-HUBZone location), then the employee will be deemed to work at a non-HUBZone location. Additionally, in order for a location to be considered the principal office, the concern must conduct business at this location.

For those concerns whose primary industry is services or construction, the determination of principal office excludes those employees who perform more than 50 percent of their work at jobsites. If all of a concern's employees perform more than 50 percent of their work at jobsites, the concern does not comply with the principal office requirement.

See [13 CFR 126.103](#) for the HUBZone definitions of "Principal Office" and "Employee."



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### Appendix B

#### Affiliation Questions

The employees of an affiliate may be counted as employees of the applicant concern for purposes of determining compliance with the HUBZone program's principal office and 35 percent residency requirements in certain circumstances. In determining whether individuals should be counted as employees of the applicant firm, the SBA will consider all information, including criteria used by the IRS for federal income tax purposes and those set forth in the SBA's Size Policy Statement No. 1. Employees of the concern's affiliate will not be counted as the concern's employees if there is a clear line of fracture between the concern and its affiliates.

Based on your responses to the questions below, the SBA will determine if there is a clear line of fracture between the applicant firm and its affiliates. Please provide separate responses to the following questions for each affiliate as part of your application submission. For every "yes" response, provide an explanation.

1. Is the affiliate owned by any of the owners of the applicant?
2. Are the affiliate's owners family members of the applicant's owners?
3. Is the affiliate managed by the same individuals who manage the applicant?
4. Do the companies share employees?
5. Are any of the shared employees key personnel?
6. Do any of the employees of the applicant perform work for the affiliate?
7. Do any of the employees of the affiliate perform work for the applicant?
8. Do the companies share office space?
9. Do the companies share utilities?
10. Do the companies share equipment?
11. Do the companies operate in the same or similar line of business?
12. Do the companies operate in the same geographic location?
13. Do the companies share customers?
14. Have the companies entered into any agreements together (e.g., subcontracting, teaming, joint venture, leasing, or any other agreement)?
15. Do the companies share payroll or other administrative/support services?
16. Do the companies have joint finances?
17. What percentage of the applicant's revenue comes from business with the affiliate?
18. What percentage of the affiliate's revenue comes from business with the applicant?
19. Do the companies share a website?

# U.S. Small Business Administration HUBZone Program

## SUPPORTING DOCUMENT REQUIREMENTS FOR ALL BUSINESS STRUCTURES

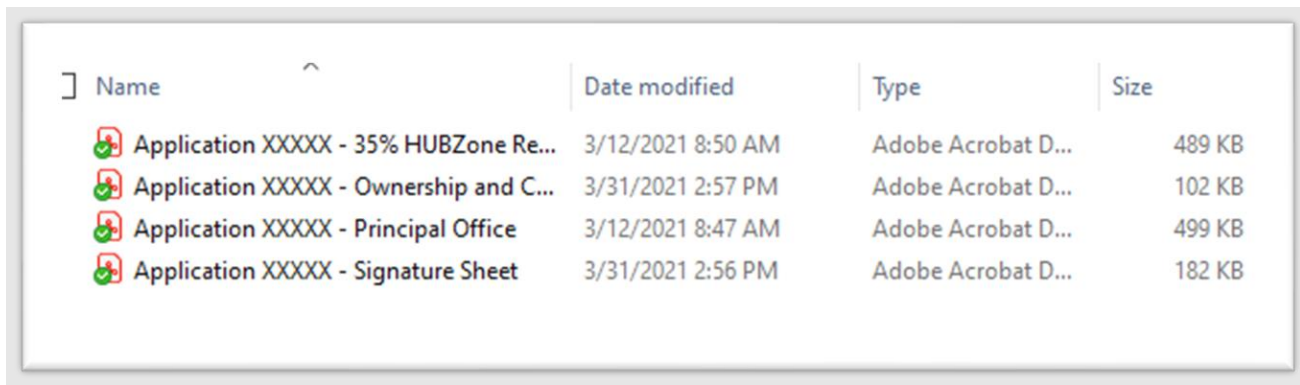
### Appendix C





#### Document Upload Instructions

To securely upload and transfer your files to the [HUBZone Supporting Document Upload website](#):

1. Group the documents according to the eligibility criterion to which they relate (i.e., Principal Office, 35 percent HUBZone Residency, and Ownership and Control) and combine each group of documents into a PDF file. Name each PDF file with the Application Number and the corresponding heading as shown below<sup>5</sup>:
  - Application XXXXX – Principal Office.pdf
  - Application XXXXX – 35 Percent HUBZone Residency.pdf
  - Application XXXXX – Ownership and Control.pdf
  - Application XXXXX – Signature Sheet.pdf

When you have completed these steps, you should see a screen similar to this:

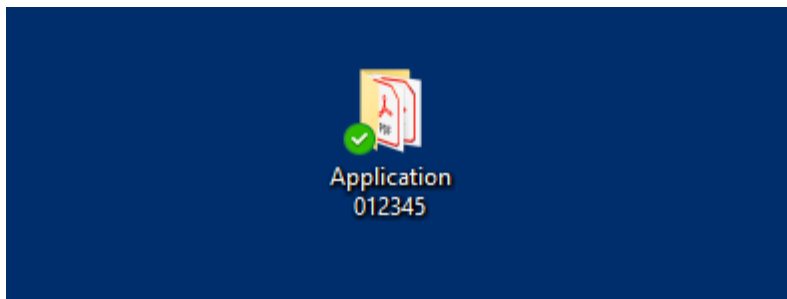


Name	Date modified	Type	Size
 Application XXXXX - 35% HUBZone Re...	3/12/2021 8:50 AM	Adobe Acrobat D...	489 KB
 Application XXXXX - Ownership and C...	3/31/2021 2:57 PM	Adobe Acrobat D...	102 KB
 Application XXXXX - Principal Office	3/12/2021 8:47 AM	Adobe Acrobat D...	499 KB
 Application XXXXX - Signature Sheet	3/31/2021 2:56 PM	Adobe Acrobat D...	182 KB

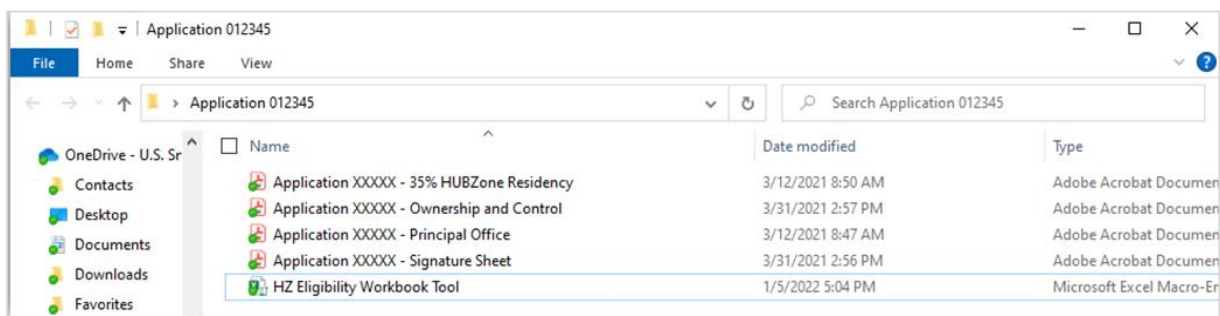
<sup>5</sup> Uploading individual documents not in these groups will result in an adverse inference and the SBA will assume that the information the concern failed to upload correctly would demonstrate ineligibility.

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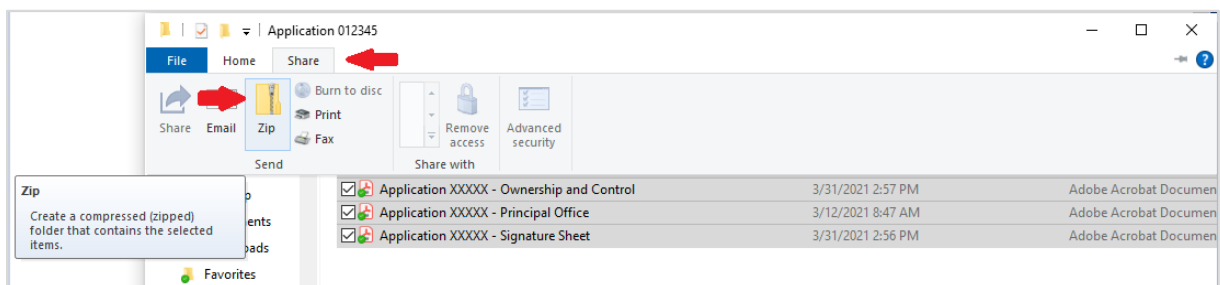
- Place these PDF files in a folder and name the folder with your Application Number.



**Note:** If you choose to complete the Eligibility Workbook Tool (EWT) in lieu of the Location List and Employee List, place the EWT in its original Excel format in the folder with the PDF files.

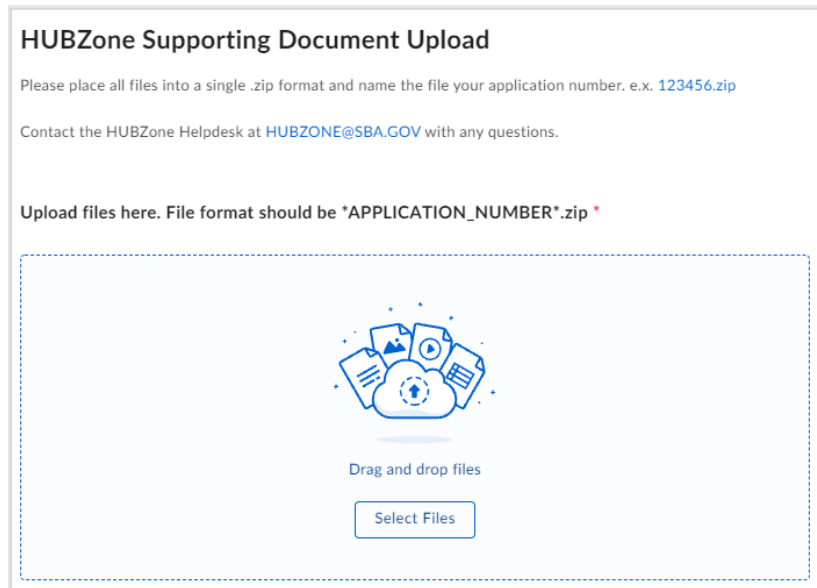


- Compress the folder to a “.zip” file.

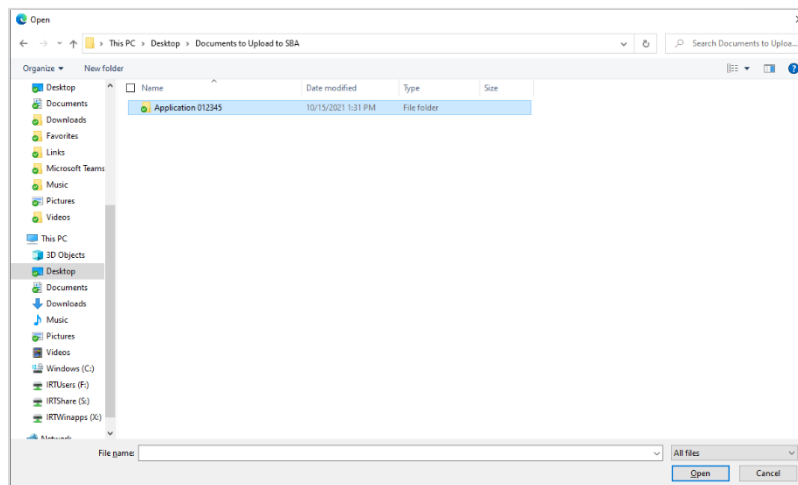


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4. Go to the [HUBZone Supporting Document Upload website](#). You should see a screen similar to this:



5. Click "Select Files." Choose the zip file containing your supporting documents and click "Open."



## U.S. Small Business Administration HUBZone Program SUPPORTING DOCUMENT REQUIREMENTS FOR ALL BUSINESS STRUCTURES

6. Enter your HUBZone Application Number, the full legal name of the firm applying for HUBZone certification, and the email address to which all correspondence related to the firm's application should be sent.<sup>6</sup>

**Application Number \***  
Enter your Application Number

**Firm Name \***  
The name of your firm.

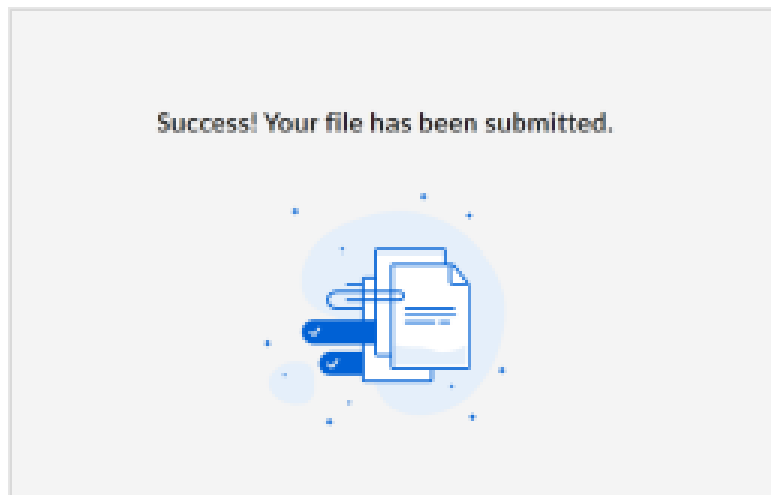
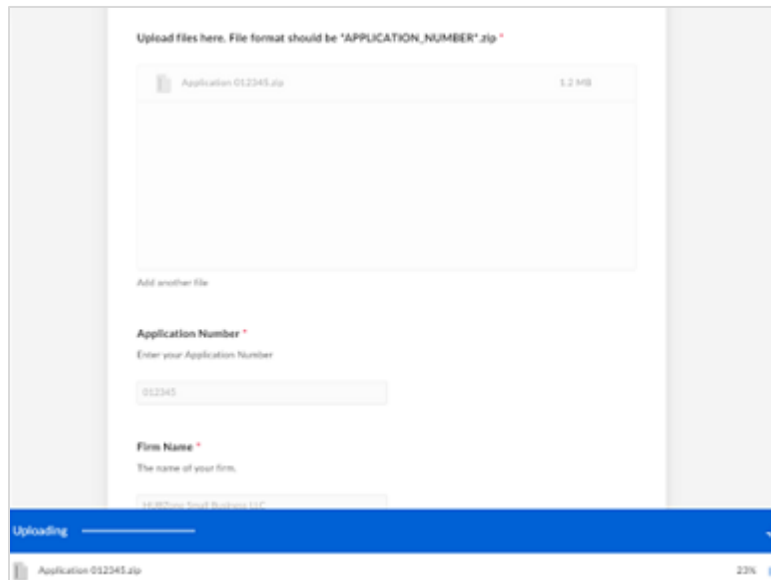
**Email Address \***  
Enter your email address

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<sup>6</sup> Failing to enter the correct application number, firm name, or email address may cause a delay in processing your application or result in an adverse inference.

## U.S. Small Business Administration HUBZone Program SUPPORTING DOCUMENT REQUIREMENTS FOR ALL BUSINESS STRUCTURES

7. Click the “Submit” button. You will see a message indicating that your file has been submitted to the SBA.



8. Finished.