

SLPC Loan Submission process

For CDC's

CAPITAL ACCESS FINANCIAL SYSTEM (CAFS)

SBA Account Login

Not Enrolled?
Forgot Password?
Forgot Username?

User ID

Password

Disclaimer

You are accessing a U.S. Government information system, which includes (1) this computer, (2) this computer network, (3) all computers connected to this network, and (4) all devices and storage media attached to this network or to a computer on this network. This information system is provided for U.S. Government-authorized use only.

Unauthorized or improper use of this system may result in disciplinary action, as well as civil and criminal penalties.

By using this information system, you understand and consent to the following:

You have no reasonable expectation of privacy regarding any communications or data transiting or stored on this information system. At any time, the government may for any lawful government purpose monitor, intercept, search and seize any communication or data transiting or stored on this information system.

Any communications or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.

Your consent is final and irrevocable. You may not rely on any statements or informal policies purporting to provide you with any expectation of privacy regarding communications on this system, whether oral or written, by your supervisor or any other official, except SBA's Chief Information Officer.

I agree to these terms.

Login

SBA Today

Portfolio Size & Dollars (in Billions)	\$
576,742 instruments for	195

Financial Instruments Funded FY 2017	#
PSB Bid Bonds	614
PSB Final Bonds	178
Guaranteed Bid Bonds	3148
Guaranteed Final Bonds	1189
7(a) Loans	29085
504 Debentures	3151
Disaster Loans	16932
Loans to Microborrowers	2158
Loans to Microlenders	11
SBIC Commitments	32

Current Interest Rates	Rate
5-Yr Constant Maturity Treasury	2%
LIBOR	3.81%
SBA Fixed Base Rate	6.07%
SBA Peg Rate	2.38%
Wall Street Journal Prime Rate	4%

News

CAFS Requirements

JavaScript should be enabled. Add this site to your Popup exception list of sites.

Browser Versions

We currently support the following browsers: Microsoft Edge, Chrome 49.0, 51.0, 54.0, 55.0 Firefox 48.0, 49.0, 50.0, 51.0

Events

Regulations.gov | SBA.gov | WhiteHouse.gov

Session timeout in 55 minutes.

U.S. SMALL BUSINESS ADMINISTRATION, 409 3RD ST, SW, WASHINGTON DC 20416.

Instructions for Creating CLS Account


CAFS is accessed via the production URL at <https://caweb.sba.gov>. If you have questions, contact CLS@SBA.gov. The instructions below explain how to create a CAFS Login System (CLS) account. These instructions only apply to a new user enrolling into CLS who does not want to be set up as an Authorizing Official (AO). If you would like to be an AO, please follow the instructions labelled "CAFS AO".

1. Go to the production url.
2. On the left side of the page under "SBA Account Login", select "Not Enrolled".
3. Complete all sections in the profile.
 - a. Under User Information
 - i. Select "Partner" for user type
 - ii. Enter the Location Id as the "Customer Location". The location id is on the SBA agreement.
 - b. Under "Supervisor Information", select an AO from the drop down.
4. You will receive an email verifying your email address. You must click the link in the email and verify your email address within 48 hours. If you do not respond within 48 hours, the request will be deleted.
5. After the AO and OCA IT Security have approved the request, you will receive an email from cls@sba.gov that your account has been approved.

These instructions only assist you to create a CLS account. To access the CAFS follow the instructions labelled "CAFS Roles".

Instructions for Setting up Roles

CAFS is accessed via the production URL at <https://caweb.sba.gov>. If you have questions, contact CLS@SBA.gov. The instructions below explain how to add roles to your CLS user id.







1. Go to the production url. 
 2. Log into the system.
 3. At the top right, select the person icon.
 4. Select "Request Access to CAFS Systems".
 5. Click on the folder, select the box, and enter the location id for each required access level.
 6. You will receive an email that the account has been updated. **THIS IS NOT AN APPROVAL FOR ACCESS.**
- After the access is approved by the AO (they will have 48 hours from your request), Program Office and the CLS security team, you will receive an email from cls@sba.gov.

Request Access to CAFS Systems

Electronic Lending - Origination (ETRAN)

Enter/Edit your SBA Loan Applications ([Show/Hide](#))







Location Id

188322	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup
<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup

SFTP Submission of E504 Documents by CDC ([Show/Hide](#))

View your SBA Loan Applications ([Show/Hide](#))

Location Id

188322	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup
<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup

Electronic Lending - Servicing (ETRAN)

Intermediary Lending Program Electronic Reporting System

LINC

MicroLoan Program Electronic Reporting System (MPERS)







Microlender Intermediary Application System

Partner Information Management System (PIMS)

Update your partner information (Regulated Lenders) ([Show/Hide](#))

View Partner Information ([Show/Hide](#))

Location Id

188322	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup
<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup	<input type="text"/>	 Del: <input type="checkbox"/> Lookup

CAFS Dashboard

Capital Access Financial System - Microsoft Internet Explorer provided by SBA

TEST
CATWEB2

WELCOME CDC

Your profile information has been successfully updated.

Admin ▾ General ▾ Loans ▾

Capital Access Dashboard

Welcome: CDC Indr_188322

Wednesday, April 5, 2017

Partner
Authorizing Official

Account at a Glance

HQ Location: 188322 - Access Business Development & Finance, Inc.

Email: jgeorge@trustedmission.com

Lender AO: CDC Indr_188322 (You)

Lender AO Email: jgeorge@trustedmission.com

Last Logged in: April 5, 2017, 01:44 PM

A photograph of a 'Small Business Loan Application Form' with a calculator, a pen, and a pair of glasses on top of it.

SBA Today

Portfolio Size & Dollars (in Billions)	\$
1,687 instruments for	0

Financial Instruments Funded FY 2017	#
PSB Bid Bonds	0
PSB Final Bonds	0
Guaranteed Bid Bonds	0
Guaranteed Final Bonds	0
7(a) Loans	829
504 Debentures	1
Disaster Loans	0
Loans to Microborrowers	1
Loans to Microlenders	1
SBIC Commitments	0

Current Interest Rates	Rate
5-Yr Constant Maturity Treasury	2%
LIBOR	3.81%
SBA Fixed Base Rate	6.07%
SBA Peg Rate	2.38%

Events

News

Recertification

IMPORTANT: SBA CLS account re-Certification starts **January 11 and end Feb 11**. The process has been made electronic and requires users approving authority to access the Security Recertification screens. SBA COR, Official and Authorizing Officials; will be required to re-certify users accounts and

Regulations.gov SBA.gov WhiteHouse.gov

Session timeout in 59 minutes.

U.S. SMALL BUSINESS ADMINISTRATION, 409 3RD ST, SW, WASHINGTON DC 20416.

Pre Application Process

For Environmental and Franchise
Documents

Lender Information

The screenshot displays the SBA Loan Origination System interface. The main content area is titled "Lender Information, Application" and contains the following fields:

SBA Partner ID	48584		
SBA Partner Location ID	188322		
Partner Name	Access Business Development & Finance, Inc.		
Street1	7370 Liberty One Drive		
Street2			
City / State / Zip	Liberty Township, OH 45044		
Lender Taxid	31-1082266 (99-9999999)		
Source Indicator			
Vendor Name			
Processing Method	504 Basic		
* Contact Name			
* first	Sheri		
(m.i.)			
* last	McConville		
(suffix)			
* Title			
Tester			
* Phone			
202-401-4281	999-999-9999		
* Fax			
333-333-4444	999-999-9999		
* E-Mail			
sheri.mcconville@sba.gov			
Bank Information			
[]	[]	Not selected yet	[] (99-9999999)
(Account #)	(Routing #)	(Account Type)	(TIN Number)

At the bottom of the page, there is a footer with navigation links: > FirstGov > E-Gov > Regulations.gov > White House, and a session timeout notice: Session timeout in 43 minutes.

Enter information on the lender (processing method and Contact Information)

Lender Information (Continued)

The screenshot shows the SBA Loan Origination System interface. The main content area is titled 'New Application' and contains the 'Lender Info' section. The form includes the following fields and options:

- * Fax:** 999-999-9999
- * E-Mail:** sheri.mcconville@sba.gov
- Bank Information:** (Account #), (Routing #), (Account Type) [Not selected yet], (TIN Number) (99-9999999)
- (Lender's) Application Number:** [Empty field]
- (Lender's) Loan Number:** [Empty field]
- Reason(s) Credit Unavailable:** A table with 5 rows and 2 columns: (Check all that apply) and Comment.

(Check all that apply)	Comment
1. <input type="checkbox"/> Inadequate Collateral	
2. <input type="checkbox"/> Low Credit Score	
3. <input type="checkbox"/> StartUp Business	
4. <input type="checkbox"/> Insufficient Expertise	
5. <input type="checkbox"/> Other	

Below the table, there are additional fields:








- * Source of Loan:** [Not selected yet]
- Package Name:** [Empty field]
- Address Street 1:** [Empty field]
- Address Street 2:** [Empty field]
- City / State / Zip:** (city), (state), (zip), (zip+4)

At the bottom of the form, there are buttons for 'Reset', 'Clear', 'Save', and 'Save / Next'. The footer of the page includes the text: 'Last modified: 02/14/2017 12:00:00 AM SBA Processing: 0.043 seconds Version: 3.14.01' and a session timeout notice: 'Session timeout in 45 minutes'.

Click the Save or Save/Next button to create a shell application. Click the



Origination Upload

TEST catweb2 E-Lend Origination **Application**       

Origination Upload - Documents for SBA Application Number 0

*** No Documents uploaded yet**

[+ Add files...](#)

File Name	File Size	Document type
-----------	-----------	---------------

Last modified: 02/08/2017 12:00:00 AM SBA Processing: 0.316 seconds Version: 3.14.01

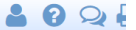
Use the [+ Add files...](#) button to add files

Add Files



E-Lend
Origination

Application



Origination Upload - Documents for SBA Application Number 0

+ Add files...

File Name	File Size
Last modified: 02/03/2015 10:01 AM	

File name: sba22221st.pdf All Files (*.*) Open Cancel

Choose the file to upload.

Select Document Type

Origination Upload - Documents for SBA Application Number 9445

* No Documents uploaded yet

+ Add files...

File Name	File Size	Document type
sba12221st.pdf	183.50 KB	<div style="display: flex; align-items: flex-start;"><div style="margin-right: 10px;">Last modified: 02/08/</div><ul style="list-style-type: none">Loan AgreementEscrow AgreementTax VerificationLicenses Required to OperateFictitious or Assumed Name CertOther Closing Related DocumentsClosing CorrespondenceAudit CorrespondenceAuthorization from CDCCDC ChecklistSupplemental DatasheetElectronic Credit MemoNarrative Credit MemoForm 1244EX 2 - Eligibility ChecklistException to PolicyEX 3 - 912EX 13 - Franchise AgreementEX 14 - Cost DocumentsEX 15 - AppraisalEX 16 - EnvironmentalEX 17 - Bank LetterEX 18 - INS / USCIS VerificationCorrespondence / Chron327CDC Streamline RequestScreen Out File</div>

select document type to upload...

You should only be uploading Environmental or Franchise Documents during the Pre-Application process

Uploaded Documents

TEST catweb2 E-Lend Origination Application

Origination Upload - Documents for SBA Application Number 9445

Row	Delete?	File Name	Document type	Uploader	Date Uploaded	Exported to Center?
1	<input type="checkbox"/> Del	sba12221st.pdf	EX 16 - Environmental	Indr_188322	04/11/2017 02:36:01 PM	No

File Name	File Size	Document type
-----------	-----------	---------------

Documents that have been uploaded are displayed along with the type, user who uploaded, date upload and indicator if exported to center.

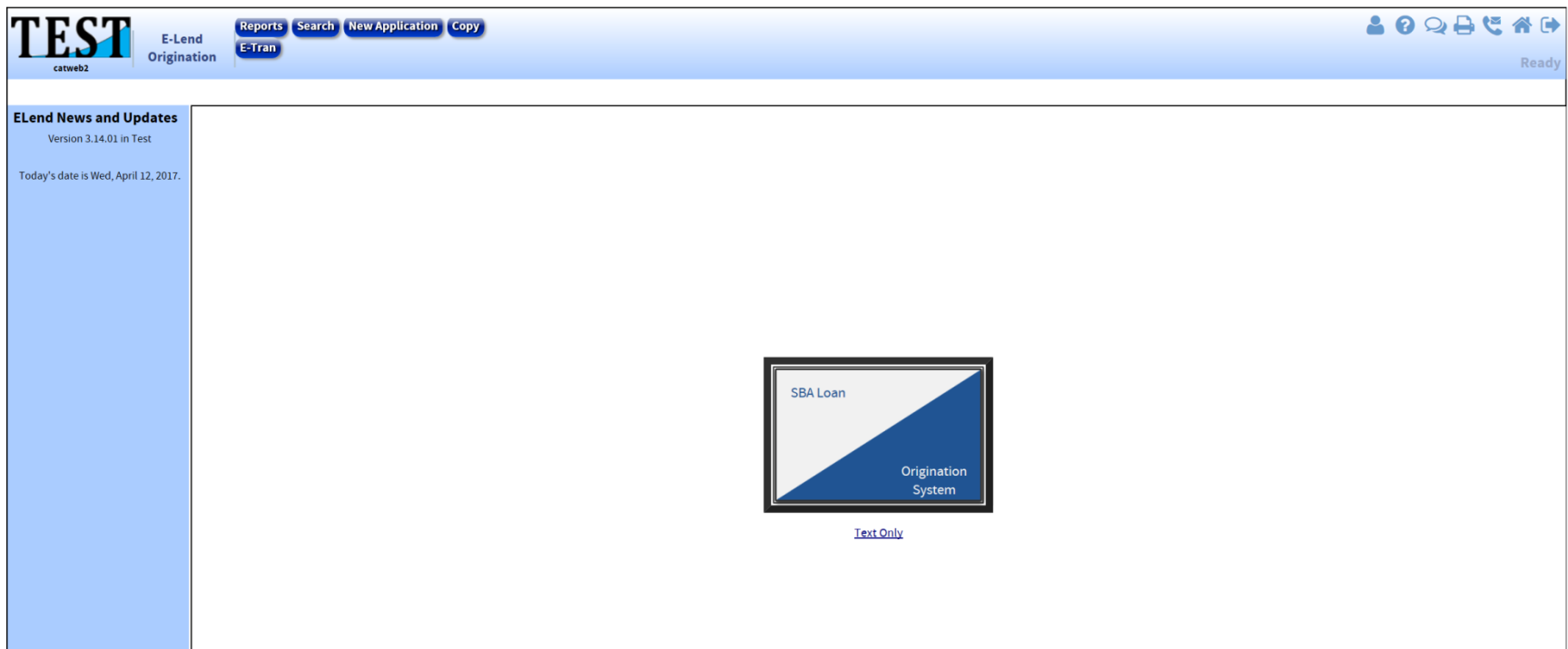
Documents are sent to the SLPC

A scheduled job is run to send any Environmental or Franchise documents that were uploaded to the SLPC.

If the Pre-App becomes a full application, the CDC can update the pre-application.

If the Pre-App does not become a full application, it will age out after 90 days.

New Application



Used to create a new application that was not a Pre-Application. Click on the “New Application” Button on the top menu bar.

Lender Information

The screenshot displays the SBA Loan Origination System interface. The main form is titled "Lender Information, Application" and contains the following fields:

- SBA Partner ID: 48584
- SBA Partner Location ID: 188322
- Partner Name: Access Business Development & Finance, Inc.
- Street1: 7370 Liberty One Drive
- Street2: [Redacted]
- City / State / Zip: Liberty Township, OH 45044 -
- Lender Taxid: 31-1092266 (99-9999999)
- Source Indicator: [Redacted]
- Vendor Name: [Redacted]
- Processing Method: 504 Basic (dropdown menu)
- * Contact Name: Sheri (first), [Redacted] (m.i.), McConville (last), [Redacted] (suffix)
- * Title: Tester
- * Phone: 202-401-4281 999-999-9999
- * Fax: 333-333-4444 999-999-9999
- * E-Mail: sheri.mcconville@sba.gov
- Bank Information: [Redacted] (Account #), [Redacted] (Routing #), Not selected yet (Account Type), [Redacted] (TIN Number)

A blue circle highlights the Processing Method dropdown and the Contact Name, Title, Phone, Fax, and E-Mail fields. A blue arrow points to the Processing Method dropdown.

At the bottom of the page, there are links for FirstGov, E-Gov, Regulations.gov, and White House, along with a session timeout notice: "Session timeout in 43 minutes".

Enter information on the lender and select the Processing Method. All fields which are bolded and have blue borders are required.

Lender Information (Continued)

The screenshot shows the SBA Loan Origination System web application. The browser address bar displays the URL: https://catweb2.sba.gov/loand/applications/dataentry/step_frames.cfm?LoanPrgrmTyp=0. The application header includes the SBA logo, navigation tabs (Reports, Search, New Application, Copy, E-Tran, Documents), and a user profile icon. The main content area is titled "New Application" and contains the "Lender Info" form. The form includes fields for Fax (999-999-9999), E-Mail (jgeorge@trustedmission.com), Bank Information (Account #, Routing #, Account Type: Not selected yet, TIN Number: 99-9999999), Lender's Application Number, Lender's Loan Number, and a table for Reason(s) Credit Unavailable. The table has two columns: "Check all that apply" and "Comment". The table rows are: 1. Inadequate Collateral, 2. Low Credit Score, 3. StartUp Business, 4. Insufficient Expertise, 5. Other. Below the table are fields for Source of Loan (Lender), Packager Name, Address Street 1, Address Street 2, and City / State / Zip. At the bottom of the form are buttons for Reset, Clear, Save, and Save / Next. A blue arrow points to the Save / Next button. The footer contains the text: "Last modified: 02/14/2017 12:00:00 AM SBA Processing: 0.211 seconds Version: 3.14.01" and navigation links: "FirstGov", "E-Gov", "Regulations.gov", "White House", "Privacy & Security", "Information Quality", "FOIA", "No Fear Act", "ADA". The session timeout is 57 minutes.

TEST
catweb2

E-Lend Origination

Reports Search New Application Copy
E-Tran Documents

Ready

New Application

Expand Collapse

Current New Application

Lender Info

* Fax: 999-999-9999

* E-Mail: jgeorge@trustedmission.com

Bank Information

(Account #) (Routing #) (Account Type) (TIN Number)

(Lender's) Application Number

(Lender's) Loan Number

Reason(s) Credit Unavailable

(Check all that apply)	Comment
1. <input type="checkbox"/> Inadequate Collateral	
2. <input type="checkbox"/> Low Credit Score	
3. <input type="checkbox"/> StartUp Business	
4. <input type="checkbox"/> Insufficient Expertise	
5. <input type="checkbox"/> Other	

* Source of Loan: Lender

Packager Name

Address Street 1

Address Street 2

City / State / Zip

(city) (state) (zip) (zip+4)

Reset Clear Save Save / Next

Last modified: 02/14/2017 12:00:00 AM SBA Processing: 0.211 seconds Version: 3.14.01

> FirstGov > E-Gov > Regulations.gov > White House
* Privacy & Security * Information Quality * FOIA * No Fear Act * ADA

Session timeout in 57 minutes

Click the Save/Next button to create begin the application.

Eligibility

The screenshot displays the SBA Loan Origination System interface. At the top, there is a navigation bar with the 'TEST' logo and 'E-Lend Origination' text. A secondary navigation bar contains buttons for 'Reports', 'Search', 'New Application', 'Copy', 'E-Tran', 'Documents', 'Loan Authorization', 'Validate', 'History', 'App Review', 'GuarantyFee', 'Submit', and 'Withdraw'. The main content area is titled 'Eligibility, Application' and contains three questions with radio button options for 'Yes' and 'No':

- Question 1: A detailed statement about lender certification and due diligence. To its right are radio buttons for 'Yes' and 'No'. A blue arrow points from the 'No' button to the right.
- Question 2: 'Eligibility Checklist complete and filed in the loan folder?'. To its right are radio buttons for 'Yes' and 'No'. A blue arrow points from the 'No' button to the right.
- Question 3: 'Has the size standard been met taking into consideration all affiliation concerns?'. To its right are radio buttons for 'Yes' and 'No'. A blue arrow points from the 'No' button to the right.

At the bottom of the form, there are buttons for 'Reset', 'Clear', 'Save', and 'Save / Next'. Below these buttons, it says 'Last modified: 09/09/2016 12:00:00 AM SBA Processing: 0.27 seconds Version: 3.14.01'. The footer includes links to 'FirstGov', 'E-Gov', 'Regulations.gov', and 'White House', along with 'Privacy & Security', 'Information Quality', 'FOIA', 'No Fear Act', and 'ADA'. A session timeout notice is visible in the bottom right corner.

The status of the application is display in the info bar, along with the application number. The left side navigation will allow you to navigate between the various screens. Answer the eligibility questions and click Save/Next button to go the next screen.

Participating Lender

The screenshot displays the SBA Loan Origination System interface. The browser title is "SBA Loan Origination System - Microsoft Internet Explorer provided by SBA". The URL is "https://catweb2.sba.gov/...". The page features a navigation menu with "E-Lend Origination" and various action buttons like "Reports", "Search", "New Application", "Copy", "E-Train", "Documents", "Loan Authorization", "Validate", "History", "App Review", "Guaranty Fee", "Submit", and "Withdraw".

The main content area is titled "Participating Lender Information, Application". It includes a sidebar with a tree view under "504 Basic" containing sections like "New Application's Loan Application", "Primary Lender", "Eligibility", "Participating Lenders", "New Lender", "Application Info", "Use of Proceeds", "Lender Comments", "Project Info", "Borrowers", "New Borrower", "Guarantors", "New Guarantor", "Collateral Register", "New Collateral", and "Business Financials".

The form fields are as follows:

- Type of Lender:** A dropdown menu set to "Participating".
- Partner Info:** Includes a "Lookup" hyperlink, "SBA Partner ID", "SBA Partner Location ID", and "FIRS" fields.
- Lender Name:** A required text field.
- Country:** A dropdown menu set to "US".
- Zip+4 Code:** A text field with a "Lookup Zip" button.
- Street 1:** A required text field.
- Street 2:** A text field.
- City Name:** A text field followed by a "(State)" dropdown menu.
- Lender TaxId:** A text field.
- Interest Rates:** A section with input fields for "Gross Interest", "Loan Servicing Fee", "SBA Guaranty", and "Net Interest", each followed by a percentage sign.
- Lender's Original Outstanding Balance:** A required text field.
- Contact:** A section with input fields for "Name" (split into first, m.i., last, and suffix), "Title", and "Phone" (with a placeholder "999-999-9999").

At the bottom of the form are "Reset", "Clear", "Save", and "Save / Next" buttons. The footer includes the text "Last modified: 11/18/2010 12:00:00 AM SBA Processing: 0.612 seconds Version: 3.14.01" and a navigation bar with links for "FirstGov", "E-Gov", "Regulations.gov", "White House", "Privacy & Security", "Information Quality", "FOIA", "No Fear Act", and "ADA". A "Session timeout in 56 minutes" message is also present.

Select the type of Lender. If the Lender is a regulated lender, then click on the Partner Info ([Lookup](#)) hyperlink to get the information on the Participating Lender from our Partner Database.

Lender Lookup By Name

Lender Lookup

Searches for a Unique Lender:

SBA Partner Location ID

Search for Multiple Lenders:

SBA Partner ID

Paged Search for Multiple Lenders:

Partner Name

Starts With 1st Sour

Partner Type

(any)

Partner Location

City	Starts With <input type="button" value="v"/>	State	Zip	Starts With <input type="button" value="v"/>

(Note: It's possible to enter combinations that cannot be found, such as NY as the State and 78705 as the Zip. Spelling differences can also interfere with the search.)

Max rows per page

50

Enter the Lender name or part of the lender name on the Partner Name and click the Lookup by Name, Type, City, State and Zip Button

Lender Lookup by Name (Cont.)

Partner	Location	Name	Address	City	St	Zip
1973	24195	1st Source Bank	100 N Michigan St	SOUTH BEND	IN	46601
29972	134605	1st Source Bank of Marshall County	315 MICHIGAN ST	Plymouth	IN	46563-1766
30046	134963	1st Source Bank of Starke County	19 W Davis	Hamlet	IN	46532
262605	490344	1st Source Capital Corporation	100 North Michigan Street	South Bend	IN	46601-
271660	501723	1st Source Capital Corporation_2	100 North Michigan Street	South Bend	IN	46601
21337	114575	1st Source Corporation	100 N Michigan St	SOUTH BEND	IN	46601
56237	199689	1st Source Leasing	100 N Michigan St	SOUTH BEND	IN	46601-1610

Click on the Location Id hyperlink to select the Lender

Lender Lookup by Location ID

TEST E-Lend Origination
catweb2

Lender Lookup

Searches for a Unique Lender:
SBA Partner Location ID

Search for Multiple Lenders:
SBA Partner ID

Paged Search for Multiple Lenders:

Partner Name

Partner Type

Partner Location

City	State	Zip
<input type="text" value="Starts With"/> <input type="button" value="▼"/>	<input type="text"/>	<input type="text" value="Starts With"/> <input type="button" value="▼"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

(Note: It's possible to enter combinations that cannot be found, such as NY as the State and 78705 as the Zip. Spelling differences can also interfere with the search.)

Max rows per page

Last modified: 01/18/2017 12:00:00 AM

[> FirstGov](#) [> E-Gov](#) [> Regulations.gov](#) [> White House](#)
* Privacy & Security * Information Quality * FOIA * No Fear Act * ADA

SBA Processing: 0.029 seconds Version: 3.14.01
Session timeout in 59 minutes.

You can look up by Location Id, Partner Id, or various other criteria.

Application Information

TEST E-Lend Origination

Reports Search New Application Copy
E-Tran Documents Loan Authorization Validate History App Review GuarantyFee Submit Withdraw

New Application Amount Status Application In-Process App 9458

504 Basic

Expand Collapse

New Application's Loan Applicant

- Primary Lender
- Eligibility
- Participating Lenders
 - 1. 1st Source Bank
 - New Lender
- Application Info
- Use of Proceeds
- Lender Comments
- Project Info
- Borrowers
 - New Borrower
- Guarantors
 - New Guarantor
- Collateral Register
 - New Collateral
- Business Financials

Application Information, Application

Special Purposes (You may select more than one box)

- Is this a Agriculture, Aquaculture loan?
- Is this a CAIP loan?
- Will the loan be used for Construction?
- Is this a DELTA loan?
- Does the Primary Borrower currently do any exporting or will the Primary Borrower start exporting pursuant to this loan?
- Is this a Fishing/Shore loan?
- No special purposes apply

Underwriting

Underwriting Authority: SBA

Loan Name: New Application

* Total Debenture Amount	\$657,000.00
* SBA Guaranty Percentage	100.0 %
* Debenture Maturity	240 months
* Interest Structure	Fixed
* Interest Type	<input checked="" type="radio"/> Fixed <input type="radio"/> Variable
* Base Rate Source	Wall Street Journal Prime Rate
* Base Rate	4 %
* Borrower's Interest Rate	5.2 %
Spread Over Prime	1.2

Entry Start Date: 04/12/2017

SBA Received Date:

Submitted Date:

[FirstGov](#) [E-Gov](#) [Regulations.gov](#) [White House](#)
* Privacy & Security * Information Quality * FOIA * No Fear Act * ADA

Session timeout in 50 minutes

Select the special purpose code. Enter the Total Debenture Amount, Gnty Percentage, Maturity Months and Interest Rate Structure.

Application Information (cont.)

TEST E-Lend Origination Reports Search New Application Copy E-Tran Documents Loan Authorization Validate History App Review Guaranty Fee Submit Withdraw

New Application Amount Status Application In-Process App 9458

504 Basic

Expand Collapse

New Application's Loan Application

- Primary Lender
- Eligibility
- Participating Lenders
 - 1. 1st Source Bank
 - New Lender
- Application Info
 - Use of Proceeds
 - Lender Comments
 - Project Info
- Borrowers
 - New Borrower
- Guarantors
 - New Guarantor
- Collateral Register
 - New Collateral
- Business Financials

Entry Start Date: 04/12/2017

SBA Received Date:

Submitted Date:

* Maturity Starts Indicator: ←

* Note Date:

* CDC can make loans outside their area of operation? Yes No

* Application Structured with Eligible Passive Company? Yes No ←

* Is the Loan Collateralized? Yes No ←

Life Insurance Required? Yes No

* Reconsideration? Yes No

* Borrower Contribution Required? Yes No

	Type of Borrower Contribution	Amount	Term (Years)	Comment/Description/Lender Info
1	Non-cash Assets			
2	Cash-Borrower	\$160,000.00		
3	Cash-Debt			
4	Subordinate Funding			
5	Cash-Gift			
6	Pari Passu Financing			
7	Standby Debt			
8	Other			

If structured with EPC, you will need to provide information on the borrower and/or guarantor screen

If the loans is marked as collateralized, you will enter information about the collateral on a separate screen

Select the Maturity Start Indicator (Note Date or First Disbursement Date) and answer the questions. Enter the amount of the Borrower's contribution.

Debenture Pricing

Debenture Pricing

*** CDC Processing Fee Paid Separate?** Yes No

SBA Percentage of Project 40.00%

*** Net Debenture Amount** \$640,000.00

a. SBA Guaranty Fee \$0.00

b. Funding Fee \$1,600.00

c. CDC Processing Fee \$9,600.00

*** d. CDC Closing Costs and Fees** \$2,500.00

e. Fees And Closing Costs (Sum of (a) through (d)) \$13,700.00

Balance to Borrower \$10,272.00

f. Underwriters' Fee withheld prior to CSA receipt of funds \$2,628.00

g. Total e-f \$16,328.00

Third Party Loans \$800,000.00

Third Party Loan % 50.00%

Borrower Contribution \$160,000.00

Borrower Contribution % 10.00%

Total Project Requirement \$ \$1,600,000.00

Total Project Requirement % 100.00%

Reset Clear Save Save / Next

Last modified: 02/27/2017 12:00:00 AM SBA Processing: 0.105 seconds Version: 3.14.01

Indicate the CDC Processing Fee and enter both the Net Debenture Amount and the CDC Closing Costs and Fees. All the other fields are calculated.

Enter the information on the debenture pricing and click the Save/Next button

Use of Proceeds

TEST E-Lend Origination Reports Search New Application Copy E-Tran Documents SBA Only Loan Authorization Validate Credit Report History App Review GuarantyFee Submit Withdraw Servicing LANA CLCS GPTS ELIPS Post Servicing Read

New Application Amount \$657,000 Status Application In-Process App 9458

504 Basic

Expand Collapse

- New Application's Loan Application
 - Primary Lender
 - Eligibility
 - Participating Lenders
 - 1. 1st Source Bank
 - New Lender
 - Application Info
 - Use of Proceeds
 - Lender Comments
 - Project Info
 - Borrowers
 - New Borrower
 - Guarantors
 - New Guarantor
 - Collateral Register
 - New Collateral
 - Business Financials

Use of Proceeds , Application		
Code - Description	Proceed Reference	Value
E02 - Purchase Land and Existing Building, if applicable	Proceed Amount	\$175,000.00
	Comment	
E04 - Building (Construction, Remodeling, L/H improvement, etc.)	Proceed Amount	\$1,365,488.00
	Comment	
E10 - Machinery and Equipment (purchase, installation, etc.)	Proceed Amount	
E15 - Debt to be refinced	Proceed Amount	
E21 - Other Expenses (eligible contingency expenses, interim interest, etc.)	Proceed Amount	\$59,512.00
	Comment	contingencies, interim interest, etc)
E22 - Professional Fees (appraiser, architect, legal, etc.)	Proceed Amount	
Total:		\$1,600,000.00

Reset Clear Save Save / Next

Last modified: 12/20/2016 12:00:00 AM SBA Processing: 0.65 seconds Version: 3.14.01

If you enter a value for E21-Other Expenses, you must enter a comment to describe

Enter the Use of Proceeds Information and Click Save/Next to advance to next screen.

Lender Comments

The screenshot displays the SBA Loan Origination System interface. The top navigation bar includes the 'TEST' logo, 'E-Lend Origination', and a menu with options like 'Reports', 'Search', 'New Application', 'Copy', 'E-Trans', 'Documents', 'SBA Only', 'Loan Authorization', 'Validate', 'Credit Report', 'History', 'App Review', 'GuarantyFee', 'Submit', 'Withdraw', 'Servicing', 'LANA', 'CLCS', 'GPTS', 'ELIPS', and 'Post Servicing'. The main content area is titled 'Lender Comments, Application' and contains a text box with the following instructions:

- For an **existing business**, comments generally describe management's character and the financial strength of the business, including repayment ability and projections.
- For a **new businesses and purchases**, comments generally cover management's qualifications, business location, competitive factors and feasibility of the business plan.

Below the text box is a rich text editor with a toolbar and a 'Words:0' counter. At the bottom of the page, there are buttons for 'Reset', 'Clear', 'Save', and 'Save / Next', along with the text 'Last modified: 11/20/2014 12:00:00 AM SBA Processing: 0.076 seconds Version: 3.14.01'. The footer contains links for 'FirstGov', 'E-Gov', 'Regulations.gov', 'White House', 'Privacy & Security', 'Information Quality', 'FOIA', 'No Fear Act', and 'ADA', and a 'Session timeout in 59 minutes' warning.

Use this screen to enter any comments on the debenture and click Save/Next

Project Information

New Application Amount \$657,000 Status Application In-Process App 9458

504 Basic

Expand Collapse

New Application's Loan Applicant

- Primary Lender
- Eligibility
- Participating Lenders
 - 1. 1st Source Bank
 - New Lender
- Application Info
- Use of Proceeds
- Lender Comments
- Project Info
- Borrowers
 - New Borrower
- Guarantors
 - New Guarantor
- Collateral Register

Project Information, Application

Project Address

Country: US

* Zip +4 Code: - ←

* Street 1:

Street 2:

* City Name / State:

* Is the Project Address: Rural? or * Urban?

Underwriting Information

Application Liquid Credit Score: No Liquid Credit Score Found

Enter the zip code and click to lookup zip code. The city and state will be populated

Enter the Street Address of the Project and indicate if the project is in an urban or rural address.

Project Information (cont.)

Nature of Business

* NAICS Code: (lookup)

Small Business Manufacturer?

* Business Age:

* Franchise? Yes * No

The purpose of the franchise numbering list is to identify the franchise system of the applicant.
Appearance on the list does not indicate SBA approval as to the eligibility of the franchise agreement or the franchise's business model.

* Franchise Number (lookup) Franchise Trade Name:

* SBA Access to Franchisor's Books: Yes No

* Defer payment of fees upon default: Yes No

* 30 Day Termination notice required: Yes No

* Lender opportunity to cure defaults: Yes No

* No. of Current Employees:

* Number of Jobs Created:

* Number of Jobs Retained:

Number of Jobs Created + Retained:

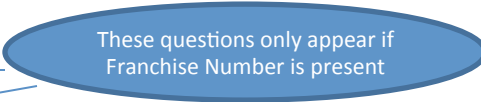
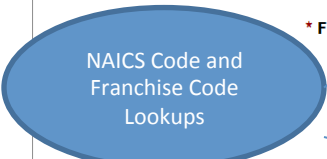
Total Jobs Requirement:

Job Requirement Met?

Overall Job Ratio:

Public Policy / Community Development Goals

Objective / Goals:



Enter the information on the nature of the business

NAICS Code Lookup



Enter Search Criteria for Search		
NAICS Code:	Contains ▾	<input type="text"/>
Keyword List:	Contains ▾	Fitness
Sort By:	NAICS Code ▾	<input type="button" value="Search"/>

Enter Keyword



Click hotlink to select NAICS

Select NAICS hotlink to copy back to Project Info screen.	
NAICS	Keywords List
713940	Fitness and Recreational Sports Centers

Enter Search Criteria for Another Search		
NAICS Code:	Contains ▾	<input type="text"/>
Keyword List:	Contains ▾	<input type="text"/>
Sort By:	NAICS Code ▾	<input type="button" value="Search"/>

Franchise Lookup

TEST | E-Lend Origination | Ready
catweb2

Enter Search Criteria for Search

Franchise Number:	Contains	<input type="text"/>
Franchise Trade Name:	Contains	Gold's Gym
<input type="button" value="Search"/>		

Enter Franchise Name and click search

TEST | E-Lend Origination | Ready
catweb2

Select Franchise hotlink to copy back to Project Info screen.

Code	Trade Name
11611	GOLD'S GYM

Enter Search Criteria for Another Search

Franchise Number:	Contains	<input type="text"/>
Franchise Trade Name:	Contains	<input type="text"/>
<input type="button" value="Search"/>		

Click Franchise Code to select franchise

Project Information (cont.)

TEST
catweb2

E-Lend Origination

Reports Search New Application Copy

E-Tran Documents SBA Only Loan Authorization Validate Credit Report History App Review GuarantyFee Submit Withdraw Servicing LANA CLCS GPTS ELIPS Post Servicing

New Application Amount \$657,000 Status Application In-Process App 9458

504 Basic

Expand Collapse

- New Application's Loan Application
 - Primary Lender
 - Eligibility
 - Participating Lenders
 - 1. 1st Source Bank
 - New Lender
 - Application Info
 - Use of Proceeds
 - Lender Comments
 - Project Info
 - Borrowers
 - New Borrower
 - Guarantors
 - New Guarantor
 - Collateral Register
 - New Collateral
 - Business Financials

The purpose of the franchise numbering list is to identify the franchise system of the applicant.
Appearance on the list does not indicate SBA approval as to the eligibility of the franchise agreement or the franchise's business model.

* Franchise Number: (lookup) Franchise Trade Name:

* SBA Access to Franchisor's Books: Yes No

* Defer payment of fees upon default: Yes No

* 30 Day Termination notice required: Yes No

* Lender opportunity to cure defaults: Yes No

* No. of Current Employees:

* Number of Jobs Created:

* Number of Jobs Retained:

Number of Jobs Created + Retained:

Total Jobs Requirement:

Job Requirement Met?

Overall Job Ratio:

Public Policy / Community Development Goals

Objective / Goals:

Agreements

Lender Consent Required for Additional Location Acquisition: Yes No

Lender Consent Required for fixed assets Acquisition: Yes No

Lender Consent Required for Compensation Increase: Yes No

Evidence of compliance with Bulk Sales or Transfer provisions of state law Yes No

Reset Clear Save Save / Next

Last modified: 02/27/2017 12:00:00 AM SBA Processing: 0.33 seconds Version: 3.14.01

Primary Business Borrower

The screenshot shows the E-Lend Origination system interface. The top navigation bar includes the TEST logo, user information, and various menu items like Reports, Search, New Application, Copy, E-Tran, Documents, SBA Only, Loan Authorization, Validate, Credit Report, History, App Review, Guaranty Fee, Submit, Withdraw, Servicing, LANA, CLCS, GPTS, ELIPS, and Post Servicing. The main content area is titled "Business Borrower Information , Application" and contains the following form elements:

- Radio buttons for Business and Person.
- Input fields for EIN/SSN: 99-9999999, 999-99-9999, and a text box containing 52-2344321.
- A definition box with the following text:
 - A **borrower** is defined as the primary business that the loan application is for and co-borrowers (person or business).
 - A **guarantor** is defined as a non-owner & non-borrower person or business that is guarantying loan repayment.
 - A **principal** is defined as a person or business with ownership interest in the loan application's primary business.
- Buttons for Reset, Clear, Save, and Save / Next.
- Footer text: Last modified: 09/30/2015 12:00:00 AM SBA Processing: 0.031 seconds Version: 3.14.01

Select Business Indicator and TIN type and enter the Tax ID of the Primary Borrower Business. Click Save/Next Button

Primary Business Borrower (cont.)

Borrower Information, Application

Tax ID: 52-2344321

* Name: ABC LLC

(Doing business as) Trade Name:

* DUNS: 234563563

* Primary Business? Yes No

* Controlling Interest Management: Primary Borrower Controlling Interest? Yes

* EPC or Operating Company? EPC

* Legal Organization Type: Limited Liability Company(LLC)

* Phone: 333-333-4444 999-999-9999

* Does the borrower currently do any exporting or will the borrower start exporting pursuant to this loan? Yes No

Since loan was structured with EPC/OC, the primary Borrower must be the EPC

Physical Address

If same as Project Address: Copy Project

Country: US

* Zip+4 code: 46825 - [] Lookup Zip

* Street 1: Lot 5 Honeywell Drive.

Street 2:

* City Name: FORT WAYNE [IN] (State)

Primary Business Borrower (cont.)

Mailing Address

If same as Physical Address:

Country:

Zip+4 Code -

Street 1

Street 2

City Name (State)

* **Involved in Bankruptcy/Insolvency?** Yes No

* **Involved in Pending Lawsuit?** Yes No

* **Prior SBA Loan?** Yes No

Current Bank Name

Business Checking Balance

Date Current Ownership Established

* **Liability Insurance** Yes No

* **Product Liability Insurance** Yes No

* **Dram Shop/Host Liquor Liability Insurance** Yes No

* **Malpractice Insurance** Yes No

* **Other Insurance** Yes No

* **Business--Total payments less than total amount of credit card purchases** Yes No

* **Furnish** * **to Lender within** **days of fiscal year end.**

Enter the mandatory data and click Save/Next to advance to the next screen

Principal Information

TEST E-Lend Origination catweb2 Ready

Reports Search New Application Copy
E-Tran Documents SBA Only Loan Authorization Validate Credit Report History App Review GuarantyFee Submit Withdraw Servicing LANA CLCS GPTS ELIPS Post Servicing

ABC LLC FORT WAYNE IN Amount \$657,000 Status Application In-Process App 9458

504 Basic Principal Information , Application

Expand Collapse

ABC LLC's Loan Application

- Primary Lender
- Eligibility
- Participating Lenders
 - 1. 1st Source Bank
 - New Lender
- Application Info
- Use of Proceeds
- Lender Comments
- Project Info
- Borrowers
 - 1. * ABC LLC
 - Principals
 - New Principal
 - Prev Govt Financing
 - New Prev Govt Lo.
 - New Borrower
 - Guarantors
 - New Guarantor
 - Collateral Register
 - New Collateral
 - Business Financials

Business Person

99-9999999 999-99-9999 EIN SSN

- A **borrower** is defined as the primary business that the loan application is for and co-borrowers (person or business).
- A **guarantor** is defined as a non-owner & non-borrower person or business that is guarantying loan repayment.
- A **principal** is defined as a person or business with ownership interest in the loan application's primary business.

Reset Clear Save Save / Next

Last modified: 09/30/2015 12:00:00 AM SBA Processing: 0.324 seconds Version: 3.14.01

Enter the tax id of the principal and click Save/Next to advance to the next screen

Principal Information (cont).

TEST E-Lend Origination catweb2 Reports Search New Application Copy E-Tran Documents SBA Only Loan Authorization Validate Credit Report History App Review GuarantyFee Submit Withdraw Servicing LANA CLCS GPTS ELIPS Post-Servicing Rea

ABC LLC FORT WAYNE IN Amount \$657,000 Status Application In-Process App 9458

504 Basic Expand Collapse

ABC LLC's Loan Application

- Primary Lender
- Eligibility
- Participating Lenders
 - 1. 1st Source Bank
 - New Lender
- Application Info
- Use of Proceeds
- Lender Comments
- Project Info
- Borrowers
 - 1. * ABC LLC
 - Principals
 - 1. (name not entered)
 - Personal Financ
 - New Principal
 - Prev Govt Financing
 - New Prev Govt Lo
 - New Borrower
 - Guarantors
 - New Guarantor
 - Collateral Register
 - New Collateral
 - Business Financials

Principal Person Information, Application

Tax ID: 223-33-4444

* First Name: Mickey

Middle Initial:

* Last Name: Mouse

Suffix:

Title:

* Controlling Interest Management: Other Partners of the Concern Controlling Interest? Yes

Ownership in the Primary Business:

Borrower	Percentage	If < 20%, is this principal guaranteeing the loan?
ABC LLC	100%	Yes

* Guarantee Type: Full Secured Guarantee

Date of Birth:

Place of Birth:
City State if USA Country

* Citizenship: U.S.Citizen

* Ethnic: Unknown/NotStated

* Gender: Male

* Veteran: Non-Veteran

Principal Information (cont.)

Select 1 or more race categories

Race

<input type="checkbox"/> American Indian or Alaska Native	<input type="checkbox"/> Asian
<input checked="" type="checkbox"/> Black or African American	<input type="checkbox"/> Native Hawaiian or Other Pacific Islander
<input type="checkbox"/> Unanswered	<input type="checkbox"/> White

* Involved in Bankruptcy/Insolvency? Yes No

* Involved in Pending Lawsuit? Yes No

Number of Years Professional Experience
in Primary Business Field

* Did you obtain an external credit score
on this person? Yes No

Physical Address

If same as Project Address:

Country:

* Zip+4 code -

* Street 1

Street 2

* City Name (State)

Mailing Address

If same as Physical Address:

Country:

Zip+4 Code -

Street 1

Principal Information (cont.)

City Name (State)

This Person or Affiliate Employed by Federal Agency? Yes No

Interest in Other Business? Yes No

Indictment, Parole or Probation? Yes No

Charged or arrested for criminal offense? Yes No

Convicted? Yes No

Fingerprint Waiver Date

* **Life Insurance** Yes No

* **Disability Insurance** Yes No

* **Evidence that Principal does not have a Non-Compete Contract with Competitor** Yes No

Last modified: 01/30/2017 12:00:00 AM SBA Processing: 0.366 seconds Version: 3.14.01

Enter all of the required data and click Save/Next to advance to the next screen

Co-Borrower (OC)

TEST E-Lend Origination Reports Search New Application Copy E-Tran Documents SBA Only Loan Authorization Validate Credit Report History App Review GuarantyFee Submit Withdraw Servicing LANA CLCS GPTS ELIPS Post Servicing

ABC LLC FORT WAYNE IN Amount \$657,000 Status Application In-Process App 9458

504 Basic Borrower Information , Application

Business Person

99-9999999 999-99-9999 EIN SSN

- A **borrower** is defined as the primary business that the loan application is for and co-borrowers (person or business).
- A **guarantor** is defined as a non-owner & non-borrower person or business that is guarantying loan repayment.
- A **principal** is defined as a person or business with ownership interest in the loan application's primary business.

Last modified: 09/30/2015 12:00:00 AM SBA Processing: 0.041 seconds Version: 3.14.01

TEST catweb2

ABC LLC's Loan Application

- Primary Lender
- Eligibility
- Participating Lenders
 - 1. 1st Source Bank
 - New Lender
- Application Info
- Use of Proceeds
- Lender Comments
- Project Info
- Borrowers
 - 1. * ABC LLC
 - Principals
 - 1. Mickey Mouse
 - Personal Finar
 - New Principal
 - Prev Govt Financing
 - New Prev Govt Lo
 - New Borrower
 - Guarantors
 - New Guarantor
 - Collateral Register
 - New Collateral
 - Business Financials

Select Business/Person and enter the Tax Id of the Operating Company

Co-Borrower (OC)

Borrower Information, Application

Tax ID: 27-4038313

* Name: More Than Gymnastics
(Doing business as) Trade Name: []

* DUNS: 040268252

* Primary Business? Yes No

* Controlling Interest Management: Other Partners of the Concern Controlling Interest? Yes

* EPC or Operating Company? Operating Company

* Legal Organization Type: Corporation

* Phone: 260-484-0254 999-999-9999

* Does the borrower currently do any exporting or will the borrower start exporting pursuant to this loan? Yes No

Select No for the Primary Business and indicate the borrower is the Operating Company

Physical Address

If same as Project Address: Copy Project

Country: US

* Zip+4 code: 46825 - [] Lookup Zip

* Street 1: Lot 5 Honeywell Drive.

Street 2: []

* City Name: FORT WAYNE [IN] (State)

Co-Borrower (OC)

Country:

Zip+4 Code -

Street 1

Street 2

City Name (State)

* **Involved in Bankruptcy/Insolvency?** Yes No

* **Involved in Pending Lawsuit?** Yes No

* **Prior SBA Loan?** Yes No

Current Bank Name

Business Checking Balance

Date Current Ownership Established

* **Liability Insurance** Yes No

* **Product Liability Insurance** Yes No

* **Dram Shop/Host Liquor Liability Insurance** Yes No

* **Malpractice Insurance** Yes No

* **Other Insurance** Yes No

* **Business--Total payments less than total amount of credit card purchases** Yes No

* **Furnish** * **to Lender within** days of fiscal year end.

Last modified: 01/30/2017 12:00:00 AM SBA Processing: 0.102 seconds Version: 3.14.01

Enter the required data and click the Save/Next Button to advance to the Principal screen

Principal of the OC

TEST E-Lend Origination catweb2

Reports Search New Application Copy
E-Tran Documents SBA Only Loan Authorization Validate Credit Report History App Review GuarantyFee Submit Withdraw Servicing LANA CLCS GPTS ELIPS Post Servicing

ABC LLC FORT WAYNE IN Amount \$657,000 Status Application In-Process App 9458

504 Basic Expand Collapse

ABC LLC's Loan Application
Primary Lender
Eligibility
Participating Lenders
1. 1st Source Bank
New Lender
Application Info
Use of Proceeds
Lender Comments
Project Info
Borrowers
1. * ABC LLC
Principals
1. Mickey Mouse
Personal Finar
New Principal
Prev Govt Financing
New Prev Govt Lo.
2. More Than Gymnastics
Principals
2. (name not enter)
Personal Finar
New Principal
Prev Govt Financing
New Prev Govt Lo.
New Borrower
Guarantors
New Guarantor
Collateral Register
New Collateral
Business Financials

Principal Person Information, Application

Tax ID: 315-02-0351

* First Name: Donald
Middle Initial:
* Last Name: Duck
Suffix:
Title:
* Controlling Interest Management: Not Selected Yet Controlling Interest?

Ownership in the Business:

Borrower	Percentage	If < 20%, is this principal guaranteeing the loan?
More Than Gymnastics	100%	Yes


* Guarantee Type: Full Unsecured Guarantee

Date of Birth:
Place of Birth:
City State if USA Country

* Citizenship: U.S.Citizen
* Ethnic: Hispanic or Latino
* Gender: Unknown/NotStated
* Veteran: Non-Veteran






Enter the required data for the principal(s) of the OC and click Save/Next

Collateral Information



E-Lend
Origination

Reports Search New Application Copy

ABC LLC FORT WAYNE IN Amount \$657,000 Status Application In-Process App 9458
504 Basic
Collateral Information , Application

Expand | Collapse

- ABC LLC's Loan Application
 - Primary Lender
 - Eligibility
 - Participating Lenders
 - 1. 1st Source Bank
 - New Lender
 - Application Info
 - Use of Proceeds
 - Lender Comments
 - Project Info
 - Borrowers
 - 1. * ABC LLC
 - Principals
 - 1. Mickey Mouse
 - Personal Finar
 - New Principal
 - Prev Govt Financing
 - New Prev Govt Lo.
 - 2. More Than Gymnastics
 - Principals
 - 2. Donald Duck
 - Personal Finar
 - New Principal
 - Prev Govt Financing
 - New Prev Govt Lo.
 - New Borrower
 - Guarantors
 - New Guarantor

* Collateral Description * Owner of Record

* Limited Secured Guaranty provided by:

* Property Address

Country

Zip+4 Code -

Street 1

Street 2

City Name

(County Code) (County Name) (State)

* Current Market Value * Collateral Status

* Ordered Date * As of Date

* Market Value Source * Appraisal Code:

* Collateral Type

Collateral exists on leased premises:

* Collateral Sub Type

Collateral Information (cont.)

Collateral Sub Type

Lien on Land and Improvements

Collateral Description:

Shared Pari Passu

The lien is limited to \$

* Type of Instrument Mortgage

Including Water Rights

Including Assignment of Rents

Additional Conditions

Insurance Requirements

* Flood Insurance [Use if loan is secured by real or personal property] Yes No

* Real Estate Hazard Insurance [Use if loan is secured by real property] Yes No

Personal Property Hazard Insurance [Use if loan is secured by personal property] Yes No

Full Marine Insurance Yes No

Environmental Requirements

* Environmental Investigation NOT yet approved by SBA and MUST be approved prior to disbursement. Yes No

Collateral Information (cont.)

TEST E-Lend Origination Reports Search New Application Copy
 E-Tran Documents SBA Only Loan Authorization Validate Credit Report History App Review GuarantyFee Submit Withdraw Servicing LANA CLCS GPTS ELIPS Post-Servicing

ABC LLC FORT WAYNE IN Amount \$657,000 Status Application In-Process App 9458

504 Basic
 Expand Collapse

- ABC LLC's Loan Application
 - Primary Lender
 - Eligibility
 - Participating Lenders
 - 1. 1st Source Bank
 - New Lender
 - Application Info
 - Use of Proceeds
 - Lender Comments
 - Project Info
 - Borrowers
 - 1. * ABC LLC
 - Principals
 - 1. Mickey Mouse
 - Personal Finar
 - New Principal
 - Prev Govt Financing
 - New Prev Govt Lo:
 - 2. More Than Gymnastics
 - Principals
 - 2. Donald Duck
 - Personal Finar
 - New Principal
 - Prev Govt Financing
 - New Prev Govt Lo:
 - New Borrower
 - Guarantors
 - New Guarantor
 - Collateral Register
 - New Collateral
 - Business Financials

Including Water Rights
 Including Assignment of Rents

Additional Conditions

Insurance Requirements

* Flood Insurance [Use if loan is secured by real or personal property] Yes No

* Real Estate Hazard Insurance [Use if loan is secured by real property] Yes No

Personal Property Hazard Insurance [Use if loan is secured by personal property] Yes No

Full Marine Insurance Yes No

Environmental Requirements

* Environmental Investigation NOT yet approved by SBA and MUST be approved prior to disbursement. Yes No

TITLE REPORT Ordered Date Received Date

	Lienholder Name	Lien Position	Balance Owing	Lien Status	Lien Comment
1	SBA	1		Current	
2				Not Selectec	
3				Not Selectec	

Reset Clear Save Save / Next

Enter the required data and click Save/Next to save the data.

Validate



E-Lend
Origination

- Reports
- Search
- New Application
- Copy
- E-Tran
- Documents
- SBA Only
- Loan Authorization
- Validate
- Credit Report
- History
- App Review
- GuarantyFee
- Submit
- Withdraw
- Servicing
- LANA
- CLCS
- GPTS
- ELIPS
- Post Servicing

Validation of Loan Application #9458



Validation errors were detected:

#	Error Code	Error Text
1	4038	Loan Documents Missing Authorization from CDC (1001)
2	4038	Loan Documents Missing Supplemental Datasheet (1003)
3	4038	Loan Documents Missing Electronic Credit Memo (1004)
4	4038	Loan Documents Missing Form 1244 (1006)
5	4038	Loan Documents Missing EX 2 - Eligibility Checklist (1007)
6	4038	Loan Documents Missing EX 14 - Cost Documents (1011)
7	4038	Loan Documents Missing EX 17 - Bank Letter (1014)

Documents

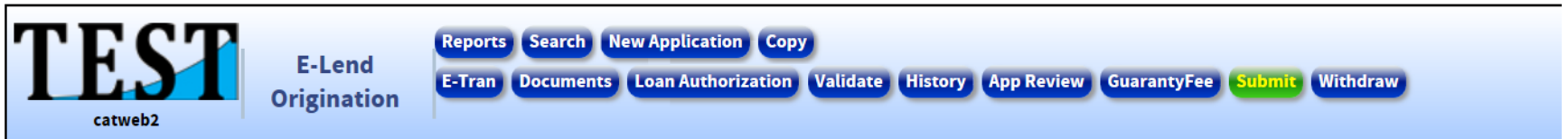
Origination Upload - Documents for SBA Application Number 9458

Row	Delete?	File Name	Document type	Uploader	Date Uploaded	Exported to Center?
1	<input type="checkbox"/> Del	DCMS Interface Migration - Plan.pdf	EX 17 - Bank Letter	smmccconv	04/13/2017 04:38:50 PM	No
2	<input type="checkbox"/> Del	Blank Leave Slip.pdf	EX 14 - Cost Documents	smmccconv	04/13/2017 04:37:55 PM	No
3	<input type="checkbox"/> Del	Parking and Taxi.pdf	EX 2 - Eligibility Checklist	smmccconv	04/13/2017 04:37:18 PM	No
4	<input type="checkbox"/> Del	Taxi.pdf	Form 1244	smmccconv	04/13/2017 04:36:54 PM	No
5	<input type="checkbox"/> Del	Scan0001.pdf	Electronic Credit Memo	smmccconv	04/13/2017 04:36:14 PM	No
6	<input type="checkbox"/> Del	Scan0001.pdf	Supplemental Datasheet	smmccconv	04/13/2017 04:35:30 PM	No
7	<input type="checkbox"/> Del	Gaylord.pdf	Authorization from CDC	smmccconv	04/13/2017 04:35:05 PM	No

File Name	File Size	Document type
-----------	-----------	---------------

Upload the required documents

Submit



Click the Submit button to send the application and documents to the SLPC

Loan in Reviewer 1

TEST E-Lend Origination Reports Search New Application Copy
E-Tran Documents Loan Authorization Validate History App Review Withdraw
catweb2

ABC LLC FORT WAYNE IN Amount \$657,000 Status In Review Reviewer 1 App 9466

504 Basic Lender Information , Application

Expand Collapse

ABC LLC's Loan Application

- Primary Lender
- Eligibility
- Participating Lenders
 - 1. 1st Source Bank
- Application Info
- Use of Proceeds
- Lender Comments
- Project Info
- Borrowers
 - 1. * ABC LLC
 - Principals
 - 1. Mickey Mouse
 - Personal Finar
 - Prev Govt Financing
 - 2. More Than Gymnastics
 - Principals
 - 2. Donald Duck
 - Personal Finar
 - Prev Govt Financing
 - Guarantors
 - Collateral Register
 - 1. Gym
 - Business Financials

SBA Partner ID	48584
SBA Partner Location ID	188322
Partner Name	Access Business Development & Finance, Inc.
Street1	7370 Liberty One Drive
Street2	
City / State / Zip	Liberty Township, OH 45044 -
Lender TaxId	<input type="text"/> (99-9999999)
Source Indicator	WEB
Vendor Name	

* Contact Name
(* first) (m.i.) (* last) (suffix)

* Title

* Phone

Fax

* E-Mail

Bank Information (99-9999999)
(Account #) (Routing #) (Account Type) (TIN Number)

Screen Outs


Origination Upload - Documents for SBA Application Number 9466

Row	Delete?	File Name	Document type	Uploader	Date Uploaded	Exported to Center?
1	<input type="checkbox"/> Del	getReceipt.pdf	EX 17 - Bank Letter	Indr_188322	04/13/2017 04:48:29 PM	04/13/2017 05:18:43 PM
2	<input type="checkbox"/> Del	getReceipt.pdf	EX 14 - Cost Documents	Indr_188322	04/13/2017 04:48:07 PM	04/13/2017 05:18:41 PM
3	<input type="checkbox"/> Del	getReceipt.pdf	EX 2 - Eligibility Checklist	Indr_188322	04/13/2017 04:47:45 PM	04/13/2017 05:18:39 PM
4	<input type="checkbox"/> Del	getReceipt.pdf	Form 1244	Indr_188322	04/13/2017 04:47:26 PM	04/13/2017 05:18:35 PM
5	<input type="checkbox"/> Del	getReceipt.pdf	Electronic Credit Memo	Indr_188322	04/13/2017 04:47:10 PM	04/13/2017 05:18:33 PM
6	<input type="checkbox"/> Del	getReceipt.pdf	Supplemental Datasheet	Indr_188322	04/13/2017 04:46:50 PM	04/13/2017 05:18:30 PM
7	<input type="checkbox"/> Del	getReceipt.pdf	Authorization from CDC	Indr_188322	04/13/2017 04:46:16 PM	04/13/2017 05:18:27 PM

Add additional documents as required if the application is screened out.


Application Approval Email

SBA loan application succeeded (TEST)

 elend@sba.gov

 [Click here to download pictures.](#) To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Sent: Thu 4/13/2017 4:41 PM

To:  McConville, Sheri M.

Processing Method : 504 Basic

Received from : Access Business Development & Finance, Inc., contact - CDC Indr_188322

Application name : ABC LLC

Primary borrower : ABC LLC

Amount requested : \$657,000.00

SBA guaranty percent : 100.000%

Current status : Active Un-Disbursed

SBA application number : 9458

Was funded on : 04/13/2017 for \$657,000.00

The SBA loan number is : 75892650-03

The SBA loan origination fee is :

Fee Discount Rate: %

Fee After Discount : \$0.00

The SBA Servicing Office is :
SACRAMENTO LOAN PROCESSING CENTER
OFFICE OF FINANCIAL PROGRAM OPERATIONS
6501 Sylvan Road
Citrus Heights, CA 95610

Servicing Actions

The screenshot displays the E-Lend Servicing web application interface. At the top, a blue navigation bar contains the 'TEST' logo with 'catweb2' below it, the text 'E-Lend Servicing', and buttons for 'Reports', 'Search', and 'E-Tran'. On the right side of the bar are several utility icons and the word 'Ready'. Below the navigation bar, a light blue sidebar on the left is titled 'E-Lend News and Updates' and contains the text 'Version 3.9 in Test' and 'Today's date is Fri, April 14, 2017.'. The main content area is mostly empty, featuring a central diagram. The diagram is a square divided diagonally from the bottom-left to the top-right. The upper-left triangle is light gray and labeled 'SBA Loan'. The lower-right triangle is dark blue and labeled 'Servicing System'. Below the diagram is a small blue link labeled 'Text Only'.

Use Etran Servicing to perform Servicing Actions

Search



E-Lend
Servicing

Reports Search
E-Tran




Ready

Reset Clear Submit Display 150 loans/page, most recent first oldest first Show SQL (OCA only)

Specific Loan:	Financial Instrument Type: (+/-)	Address Associated:
SBA Loan Number: 75892650-03 x	504 Commitment	Type of Address: Loan Mailing Address
SBA App Number:	Program Code:	Partial Street Address (Contains):
When:	Not Selected Yet	Partial Zip Code:
Funding date begins:	Processing Method: (+/-)	Partial City Name:
Funding date ends:	3 Percent Preferred Stock Small Busin 4 Percent Preferred Stock Small Busin	State:
	Special Purpose Code: (+/-)	Business Associated:
	(7a) (19) Small Certified Loans 3 Percent Preferred Stock - SBIC	EIN/SSN:
	Status: (+/-)	Partial Name:
	Active Un-Disbursed Disbursed Current	Person Associated:
		SSN:
		Partial First Name:
		Partial Last Name:
		Partial Name Restrictions:
		Searches by Partial Name must include at least one other search criterion.

Enter the search criteria to search for the loan.

Loan In Servicing



catweb2

E-Lend Servicing

Reports Search

Global E-Tran Cancel Loan Authorization Documents GuarantyFee Whatif History Origination

ABC LLC FORT WAYNE IN Amount \$657,000 Status Active Un-Disbursed Loan 75892650-03 App 9458 Funded 04/13/2017

504 Basic

Expand Collapse

- ABC LLC's Loan Application
 - Participating Lenders
 - 1. 1st Source Bank
 - New Lender
 - Loan Info
 - Use of Proceeds
 - 504 Monthly Status Data
 - Lender Comments
 - Project Info
 - Borrowers
 - 1. * ABC LLC
 - Principals
 - 1. Mickey Mouse
 - New Principal
 - 2. More Than Gymnastics
 - Principals
 - 1. Donald Duck
 - New Principal
 - New Borrower
 - Guarantors
 - New Guarantor
 - Collateral Register
 - 1. Gym
 - New Collateral
 - Associates
 - New Associate
 - Business Financials
 - New Business Financials
 - Federal Records

504 Information , Guaranty 504 - READONLY

*** (You may select more than one box)**

Is this a Agriculture, Aquaculture loan?

Is this a CAIP loan?

Will the loan be used for Construction?

Is this a DELTA loan?

Does the Primary Borrower currently do any exporting or will the Primary Borrower start exporting pursuant to this loan?

Is this a Fishing/Shore loan?

No special purposes apply

*** Loan Name**

Servicing Location ID Servicing Location Name

SBA Servicing Office

Underwriting Authority

Bank Info

<input type="text"/>	<input type="text"/>	<input type="text" value="Not selected yet"/>	<input type="text"/>
<small>(Account #)</small>	<small>(Routing #)</small>	<small>(Account Type)</small>	<small>(TIN 99-9999999)</small>

*** Loan Collateralized?** Yes No Sold Secondary Market Indicator

*** Total Debenture Amount** Original Total Debenture Amount

Amount Received by CSA

Outstanding Balance Amount

Total Undisbursed Amount

*** SBA Guaranty Percentage** Original SBA Guaranty Percentage